

(NAME OF DOCUMENT) SPECIFICATION

(TITLE OF THE INVENTION) POLISHING APPARATUS AND METHOD

(CLAIMS)

(CLAIM 1) A polishing apparatus having a turntable with  
5 an upper surface to which a polishing cloth is attached and  
a top ring, for polishing a workpiece to a flat mirror  
finish by placing the workpiece between said turntable and  
said top ring and pressing the workpiece under a given  
pressure, characterized in that:

10 a plurality of openings are provided in a holding  
surface, for holding the workpiece, of said top ring for  
ejecting pressurized fluid, said plurality of openings are  
divided into a plurality of areas, and said pressurized  
fluid is capable of being supplied to each of said areas.

15 (CLAIM 2) A polishing apparatus according to claim 1,  
wherein said plurality of areas comprise concentric annular  
areas.

(CLAIM 3) A polishing apparatus according to claim 1 or  
2, wherein a pressing force applied to the workpiece by said  
20 top ring and a pressure of said pressurized fluid are  
variable independently of each other.

(CLAIM 4) A polishing apparatus according to any one of  
claims 1 to 3, wherein a pressure of said pressurized fluid  
is variable in each of said areas.

25 (CLAIM 5) A polishing apparatus according to any one of  
claims 1 to 4, wherein a presser ring is vertically movably  
disposed around said top ring, a pressing means for pressing

said presser ring against said polishing cloth is provided, and a pressing force of said pressing means is variable.

(CLAIM 6) A polishing apparatus according to any one of claims 1 to 5, wherein said top ring has a holding portion  
5 for holding an outer circumferential portion of the workpiece.

(CLAIM 7) A polishing method having a turntable with an upper surface to which a polishing cloth is attached and a top ring, for polishing a workpiece to a flat mirror finish  
10 by placing the workpiece between said turntable and said top ring and pressing the workpiece under a given pressure, characterized in that:

a plurality of openings are provided in a holding surface, for holding the workpiece, of said top ring for  
15 ejecting pressurized fluid, said plurality of openings are divided into a plurality of concentric areas, said pressurized fluid is capable of being supplied to each of said concentric areas, and a pressing force for pressing the workpiece against said polishing cloth caused by said  
20 pressurized fluid is changed in a central portion and an outer circumferential portion of the workpiece, respectively.

(CLAIM 8) A polishing method according to claim 7, wherein a presser ring is vertically movably disposed around  
25 said top ring, and the workpiece is polished while said polishing cloth is pressed by said presser ring in an area around an area of said polishing cloth which contacts the workpiece.

(CLAIM 9) A polishing method according to claim 8,  
wherein a pressing force applied to said polishing cloth by  
said presser ring is determined on the basis of a tendency  
of a pressing force for pressing the workpiece against said  
5 polishing cloth caused by said pressurized fluid.

(DETAILED DESCRIPTION OF THE INVENTION)

(0001)

(Technical Field to which the Invention belongs)

The present invention relates to a polishing apparatus  
10 and method, and more particularly to a polishing apparatus  
and method for polishing workpiece such as a semiconductor  
wafer to a flat mirror finish.

(0002)

(Prior Art)

15 Recent rapid progress in semiconductor device  
integration demands smaller and smaller wiring patterns or  
interconnections and also narrower spaces between  
interconnections which connect active areas. Especially, in  
the case of photolithographic process for forming  
20 interconnections that are at most 0.5  $\mu\text{m}$  wide, it requires  
that surfaces on which pattern images are to be focused by a  
stepper be as flat as possible because the depth of focus of  
the optical system is relatively small.

It is therefore necessary to make the surfaces of  
25 semiconductor wafers flat. One customary way of flattening  
the surfaces of semiconductor wafers is to polish them with a  
polishing apparatus.

Conventionally, a polishing apparatus has a turntable and a top ring which rotate at respective individual speeds. During operation, the top ring exerts a certain pressure on the turntable, and a workpiece to be polished is placed on the polishing cloth containing an abrasive liquid and clamped  
5 between the top ring and the turntable. The surface of the workpiece held against the polishing cloth is therefore polished while the top ring and the turntable are rotating.

(0003)

10 The polishing apparatus is required to have such performance that the surfaces of workpiece have a highly accurate flatness. Therefore, it is preferable that the lower end surface (the holding surface) of the top ring which holds a semiconductor wafer and the contact surface of the  
15 polishing cloth which is held in contact with the semiconductor wafer, and hence the surface of the turntable to which the polishing cloth is attached, have a highly accurate flatness, and those surfaces which are highly accurately flat have been used in the art.

20 (0004)

It is known that the polishing action of the polishing apparatus is affected not only by the configurations of the holding surface of the top ring and the contact surface of the polishing cloth, but also by the relative speed between  
25 the polishing cloth and the semiconductor wafer, the distribution of pressure applied to the surface of the semiconductor wafer, the amount of the abrasive liquid on the polishing cloth, and the period of time when the polishing

cloth has been used. It is considered that the surface of the semiconductor wafer can be highly accurately flat if the above factors which affect the polishing action of the polishing apparatus are equalized over the entire surface of the semiconductor wafer to be polished.

(0005)

However, some of the above factors can easily be equalized over the entire surface of the semiconductor wafer, but the other factors cannot be equalized. For example, the relative speed between the polishing cloth and the semiconductor wafer can easily be equalized by rotating the turntable and the top ring at the same rotational speed and in the same direction. However, it is difficult to equalize the amount of the abrasive liquid on the polishing cloth because of centrifugal forces imposed on the abrasive liquid.

The above approach which tries to equalize all the factors affecting the polishing action, including the flatnesses of the lower end surface of the top ring and the upper surface of the polishing cloth on the turntable, over the entire surface of the semiconductor wafer to be polished poses limitations on efforts to make the polished surface of the semiconductor wafer flat, often resulting in a failure to accomplish a desired degree of flatness of the polished surface.

(0006)

It has been customary to achieve a more accurate flatness by making the holding surface of the top ring concave or convex to develop a certain distribution of

pressure on the surface of the semiconductor wafer for  
thereby correcting irregularities of the polishing action  
which are caused by an irregular entry of the abrasive liquid  
and variations in the period of time when the polishing cloth  
5 has been used.

(0007)

(Problems to be Solved by the Invention)

However, various problems have arisen in the case where  
a specific configuration is applied to the holding surface of  
10 the top ring. Specifically, since the holding surface of the  
top ring is held in contact with the semiconductor wafer at  
all times, the holding surface of the top ring affects the  
polishing action continuously all the time while the  
semiconductor wafer is being polished. Because the  
15 configuration of the holding surface of the top ring has  
direct effect on the polishing action, it is highly complex  
to correct irregularities of the polishing action by  
intentionally making the holding surface of the top ring  
concave or convex, i.e., non-flat. If the holding surface of  
20 the top ring which has been made intentionally concave or  
convex is inadequate, the polished surface of the  
semiconductor wafer may not be made as flat as desired, or  
irregularities of the polishing action may not be  
sufficiently corrected, so that the polished surface of the  
25 semiconductor wafer may not be sufficiently flat.

(0008)

In addition, inasmuch as the holding surface of the top  
ring is of substantially the same size as the surface of the

semiconductor wafer to be polished, the holding surface of the top ring is required to be made irregular in a very small area. Because such surface processing is highly complex, it is not easy to correct irregularities of the polishing action  
5 by means of the configuration of the holding surface of the top ring.

(0009)

The conventional polishing apparatuses, particularly those for polishing semiconductor wafers, are required to  
10 polish workpiece surfaces to higher flatness. There have not been available suitable means and apparatus for polishing workpieces to shapes which are intentionally not flat or for polishing workpieces such that desired localized areas of workpiece surfaces are polished to different degrees.

15 (0010)

It is therefore an object of the present invention to provide a polishing apparatus and method which can easily correct irregularities of a polishing action, and polish a workpiece with an intensive polishing action on a desired  
20 localized area thereof.

(0011)

(Means for Solving the Problems)

In order to achieve the above object, according to the first aspect of the present invention, there is provided a  
25 polishing apparatus having a turntable with an upper surface to which a polishing cloth is attached and a top ring, for polishing a workpiece to a flat mirror finish by placing the workpiece between the turntable and the top ring and

pressing the workpiece under a given pressure, characterized in that: a plurality of openings are provided in a holding surface, for holding the workpiece, of the top ring for ejecting pressurized fluid, the plurality of openings are  
5 divided into a plurality of areas, and the pressurized fluid is capable of being supplied to each of the areas.

(0012)

According to the second aspect of the present invention, there is provided a polishing method having a  
10 turntable with an upper surface to which a polishing cloth is attached and a top ring, for polishing a workpiece to a flat mirror finish by placing the workpiece between the turntable and the top ring and pressing the workpiece under a given pressure, characterized in that: a plurality of  
15 openings are provided in a holding surface, for holding the workpiece, of the top ring for ejecting pressurized fluid, the plurality of openings are divided into a plurality of concentric areas, the pressurized fluid is capable of being supplied to each of the concentric areas, and a pressing  
20 force for pressing the workpiece against the polishing cloth caused by the pressurized fluid is changed in a central portion and an outer circumferential portion of the workpiece, respectively.

(0013)

25 FIG. 1 shows the basic principles of the present invention. As shown in FIG. 1, a top ring 1 has therein a circular first chamber  $C_1$  at a central position thereof, an annular second chamber  $C_2$  disposed at a radially outer side



of the first chamber  $C_1$ , and an annular third chamber  $C_3$  disposed at a radially outer side of the second chamber  $C_2$ . The first chamber  $C_1$  is connected to a pressurized fluid source through a valve  $V_1$ , the second chamber  $C_2$  is connected  
5 to a pressurized fluid source through a valve  $V_2$ , and the third chamber  $C_3$  is connected to a pressurized fluid source through a valve  $V_3$ . The top ring 1 has a recess 1a defined in a lower surface thereof for accommodating therein a semiconductor wafer 4 which is a workpiece to be polished.  
10 An elastic pad 2 is attached to the lower surface of the top ring 1.

(0014)

The top ring 1 and the elastic pad 2 have a plurality of openings 1o and 2o, respectively, which are in registry with  
15 each other. Each of the openings 1o and 2o is communicated with any one of the first chamber  $C_1$ , the second chamber  $C_2$ , and the third chamber  $C_3$ . That is, a plurality of openings each comprising the openings 1o and 2o for ejecting pressurized fluid are provided in a holding surface of the  
20 top ring 1 for holding the semiconductor wafer to be polished. Thus, three concentric annular areas are defined on the holding surface of the top ring 1 by allowing the openings 1o and 2o to be communicated with any one of the first, second and third chambers  $C_1$ ,  $C_2$  and  $C_3$ . The  
25 pressurized fluid is ejectable from the openings in the respective annular areas, separately.

(0015)

A presser ring 3 is disposed around the top ring 1 and is vertically movable with respect to the top ring 1. A turntable 5 having an upper surface to which a polishing cloth 6 is attached is provided below the top ring 1.

5 (0016)

The top ring 1 applies a pressing force  $F_1$  (pressure per unit area,  $\text{gf/cm}^2$ ) to press the semiconductor wafer 4 to be polished against the polishing cloth 6 on the turntable 5. The pressing forces  $F_1$  is variable. During polishing, 10 pressurized fluid such as compressed air is supplied to the first, second and third chambers  $C_1$ ,  $C_2$  and  $C_3$ , selectively, and the supplied pressurized fluid is ejected from the lower surface of the elastic pad 2 through the openings 10 and 20 and is supplied between the holding surface of the top ring 1 15 and the upper surface of the semiconductor wafer 4.

(0017)

At this time, the chamber to which pressurized fluid is supplied is selected, and hence the annular area, from which pressurized fluid is ejected is selected. For example, 20 pressurized fluid is supplied only to the first chamber  $C_1$ , and is not supplied to the second and third chambers  $C_2$  and  $C_3$ , and thus the pressurized fluid is ejected only from the central area of the holding surface of the top ring 1. As a result, the semiconductor wafer 4 is pressed against the 25 polishing cloth 6 by the pressurized fluid in such a state that the polishing pressure applied to the central portion of the semiconductor wafer 4 is larger than the polishing pressure applied to outer circumferential portion of the

semiconductor wafer 4. Thus, if the amount of a material removed from the outer circumferential portion of the semiconductor wafer 4 is larger than the amount of a material removed from the central portion of the semiconductor wafer 4, insufficient polishing action at the central portion of the semiconductor wafer can be corrected by utilizing the pressing action of the pressurized fluid.

(0018)

On the other hand, if the amount of a material removed from the central portion of the semiconductor wafer 4 is larger than the amount of a material removed from the outer circumferential portion of the semiconductor wafer, the pressurized fluid is supplied only to the third chamber  $C_3$ , and is not supplied to the first and second chambers  $C_1$  and  $C_2$ . As a result, the polishing pressure applied to the outer circumferential portion of the semiconductor wafer 4 is made larger than the central portion of the semiconductor wafer 4. Thus, insufficient polishing action at the outer circumferential portion of the semiconductor wafer can be collected, and the entire surface of the semiconductor wafer 4 can be uniformly polished.

(0019)

The pressures of pressurized fluid supplied to the first chamber  $C_1$ , the second chamber  $C_2$  and the third chamber  $C_3$  are changed, respectively. That is, pressurized fluid having a pressure of  $p_1$  gf/cm<sup>2</sup> is supplied to the first chamber  $C_1$ , pressurized fluid having a pressure of  $p_2$  gf/cm<sup>2</sup> is supplied to the second chamber  $C_2$ , and pressurized fluid having a

pressure of  $p_3$  gf/cm<sup>2</sup> is supplied to the third chamber  $C_3$ , respectively. In this manner, the fluid which is supplied between the holding surface of the top ring 1 and the upper surface of the semiconductor wafer 4 has pressure gradient so as to be higher or lower progressively from the central area to the outer circumferential area of the semiconductor wafer 4, and hence the pressing force for pressing the semiconductor wafer 4 against the polishing cloth 6 has gradient so as to be higher or lower progressively from the central area to the outer circumferential area of the semiconductor wafer 4. Thus, the localized area of the semiconductor wafer 4 is prevented from being polished excessively or insufficiently.

(0020)

In the present invention, the pressing force  $F_1$  (pressure per unit area, gf/cm<sup>2</sup>) for pressing the semiconductor wafer 4 against the polishing cloth 6, and the pressing force  $F_2$  (pressure per unit area, gf/cm<sup>2</sup>) for pressing the polishing cloth 6 are variable independently of each other. Therefore, the pressing force  $F_2$  which is applied to the polishing cloth 6 by the presser ring 3 can be changed depending on the pressing force  $F_1$  which is applied by the top ring 1 to press the semiconductor wafer 4 against the polishing cloth 6.

(0021)

Theoretically, if the pressing force  $F_1$  which is applied by the top ring 1 to press the semiconductor wafer 4 against the polishing cloth 6 is equal to the pressing force  $F_2$  which

is applied to the polishing cloth 6 by the presser ring 3, then the distribution of applied polishing pressures is continuous and uniform from the center of the semiconductor wafer 4 to its peripheral edge and further to an outer circumferential edge of the presser ring 3 disposed around the semiconductor wafer 4. Accordingly, the peripheral portion of the semiconductor wafer 4 is prevented from being polished excessively or insufficiently.

(0022)

FIG. 2 schematically shows how the polishing cloth 6 behaves when the relationship between the pressing force  $F_1$  and the pressing force  $F_2$  is varied. In FIG. 2(a), the pressing force  $F_1$  is larger than the pressing force  $F_2$  ( $F_1 > F_2$ ). In FIG. 2(b), the pressing force  $F_1$  is nearly equal to the pressing force  $F_2$  ( $F_1 \doteq F_2$ ). In FIG. 2(c), the pressing force  $F_1$  is smaller than the pressing force  $F_2$  ( $F_1 < F_2$ ).

As shown in FIGS. 2(a), 2(b) and 2(c), when the pressing force  $F_2$  applied to the polishing cloth 6 by the presser ring 3 is progressively increased, the polishing cloth 6 pressed by the presser ring 3 is progressively compressed, thus progressively changing its state of contact with the peripheral portion of the semiconductor wafer 4, i.e., progressively reducing its area of contact with the peripheral portion of the semiconductor wafer 4. Therefore, when the relationship between the pressing force  $F_1$  and the pressing force  $F_2$  is changed in various patterns, the distribution of polishing pressures on the semiconductor

wafer 4 over its peripheral portion and inner region is also changed in various patterns.

(0023)

As shown in FIG. 2, when the pressing force  $F_1$  is larger  
5 than the pressing force  $F_2$  ( $F_1 > F_2$ ), the polishing pressure applied to the peripheral portion of the semiconductor wafer 4 is larger than the polishing pressure applied to the inner region of the semiconductor wafer 4, so that the amount of a material removed from the peripheral portion of the  
10 semiconductor wafer 4 is larger than the amount of a material removed from the inner region of the semiconductor wafer 4.

When the pressing force  $F_1$  is substantially equal to the pressing force  $F_2$  ( $F_1 \approx F_2$ ), the distribution of polishing pressures is continuous and uniform from the center of the  
15 semiconductor wafer 4 to its peripheral edge and further to the outer circumferential edge of the presser ring, so that the amount of a material removed from the semiconductor wafer 4 is uniform from the peripheral edge to the inner region of the semiconductor wafer 4.

20 When the pressing force  $F_1$  is smaller than the pressing force  $F_2$  ( $F_1 < F_2$ ), the polishing pressure applied to the peripheral portion of the semiconductor wafer 4 is smaller than the polishing pressure applied to the inner region of the semiconductor wafer 4, so that the amount of a material  
25 removed from the peripheral edge of the semiconductor wafer 4 is smaller than the amount of a material removed from the inner region of the semiconductor wafer 4.

(0024)

As described above, according to the present invention, pressurized fluid is ejected from the holding surface of the top ring 1. At this time, the areas from which the pressurized fluid is ejected are suitably selected, and the pressing force applied to the semiconductor wafer 4 by the pressurized fluid is changed in the central portion and the outer circumferential portion of the semiconductor wafer 4, respectively.

(0025)

10 In parallel with the above process, the pressing force  $F_2$  of the presser ring 3 disposed around the top ring 1 is determined on the basis of the pressing force  $F_1$  of the top ring 1, and the semiconductor wafer is polished while pressing the polishing cloth 6 by the presser ring 3 under  
15 the pressing force  $F_2$  which has been determined. Further, the pressing force  $F_2$  is determined on the basis of the pressure distribution which is applied to the semiconductor wafer 4 by the pressurized fluid, and the semiconductor wafer 4 is polished by a combination of an action caused by the  
20 pressurized fluid and an action caused by the presser ring 3. In this manner, insufficient polishing action in the localized area (for example, the central area or the outer circumferential area) of the semiconductor wafer can be corrected, and the localized area of the semiconductor wafer  
25 is prevented from being polished excessively or insufficiently. In the case where the polishing pressure applied to the central portion of the semiconductor wafer 4 is made larger than the outer circumferential portion of the

semiconductor wafer 4 by supplying the pressurized fluid, the pressing force  $F_2$  of the presser ring 3 is made larger than the pressing force  $F_1$  of the top ring 1. Conversely, in the case where the polishing pressure applied to the outer circumferential portion of the semiconductor wafer 4 is made larger than the central portion of the semiconductor wafer 4 by supplying the pressurized fluid, the pressing force  $F_2$  of the presser ring 3 is made smaller than the pressing force  $F_1$  of the top ring 1.

10 . (0026)

FIG. 3 shows the results of an experiment in which a semiconductor wafer was polished based on the basic principles of supply of pressurized fluid according to the present invention. The semiconductor wafer used in the experiment was an 8-inch semiconductor wafer. In the experiment, the pressing force (polishing pressure) applied to the semiconductor wafer by the top ring was a constant level of  $400 \text{ gf/cm}^2$ , and the supply of the pressurized fluid was controlled. FIG. 3(a) shows the case in which the pressurized fluid was not supplied, FIG. 3(b) shows the case in which the pressurized fluid is supplied only to the first chamber  $C_1$ , and FIG. 3(c) shows the case in which the pressurized fluid is supplied only to the third chamber  $C_3$ . The pressure of the pressurized fluid was  $200 \text{ gf/cm}^2$ . In each of FIG. 3(a) through 3(c), the horizontal axis represents a distance (mm) from the center of the semiconductor wafer, and the vertical axis represents a



thickness(A) of a material removed from a semiconductor wafer.

(0027)

As shown in FIG. 3, the thickness of the removed  
5 material at the radial positions on the semiconductor wafer  
is affected by controlling the supply of the pressurized  
fluid. Specifically, when the pressurized fluid was not  
supplied, as shown in FIG. 3(a), the peripheral portion of  
the semiconductor wafer was excessively polished. When the  
10 pressurized fluid is supplied only to the first chamber  $C_1$  to  
press only the central portion of the semiconductor wafer by  
the pressurized fluid, as shown in FIG. 3(b), the peripheral  
portion of the semiconductor wafer was not excessively  
polished and the central portion of the semiconductor wafer  
15 was slightly excessively polished. When the pressurized  
fluid was supplied only to the third chamber  $C_3$  to press only  
the outer circumferential portion of the semiconductor wafer  
by the pressurized fluid, as shown in FIG. 3(c), the outer  
circumferential portion of the semiconductor wafer was  
20 excessively polished and the central portion of the  
semiconductor wafer was polished insufficiently.

(0028)

As described above, the experimental results indicate  
that the amount of the material removed from the localized  
25 area of the semiconductor wafer can be adjusted by  
controlling supply of the pressurized fluid.

(0029)

FIG. 4 shows the results of an experiment in which a semiconductor wafer was polished based on the basic principles of the present invention. The semiconductor wafer used in the experiment was an 8-inch semiconductor wafer. In the experiment, the pressing force (polishing pressure) applied to the semiconductor wafer by the top ring was a constant level of 400 gf/cm<sup>2</sup>, and the pressing force applied by the presser ring was changed from 600 to 200 gf/cm<sup>2</sup> successively by decrements of 100 gf/cm<sup>2</sup>. Specifically, the pressing force applied by the presser ring was 600 gf/cm<sup>2</sup> in FIG. 4(a), 500 gf/cm<sup>2</sup> in FIG. 4(b), 400 gf/cm<sup>2</sup> in FIG. 4(c), 300 gf/cm<sup>2</sup> in FIG. 4(d), and 200 gf/cm<sup>2</sup> in FIG. 4(e). In each of FIG. 4, the horizontal axis represents a distance (mm) from the center of the semiconductor wafer, and the vertical axis represents a thickness (Å) of a material removed from the semiconductor wafer.

(0030)

As shown in FIG. 4, the thickness of the removed material at the radial positions on the semiconductor wafer is affected when the pressing force applied by the presser ring was changed. Specifically, when the pressing force applied by the presser ring was in the range from 200 to 300 gf/cm<sup>2</sup>, as shown in FIGS. 4(d) and 4(e), the peripheral portion of the semiconductor wafer was excessively polished. When the pressing force applied by the presser ring was in the range from 400 to 500 gf/cm<sup>2</sup>, as shown in FIGS. 4(b) and 4(c), the peripheral portion of the semiconductor wafer is substantially equally polished from the peripheral edge to

the inner region of the semiconductor wafer. When the pressing force applied by the presser ring was  $600 \text{ gf/cm}^2$ , as shown in FIG. 3(a), the peripheral portion of the semiconductor wafer was polished insufficiently.

5 (0031)

The experimental results indicate that the amount of the material removed from the peripheral portion of the semiconductor wafer can be adjusted by varying the pressing force applied by the presser ring independently of the pressing force applied by the top ring. From a theoretical standpoint, the peripheral portion of the semiconductor wafer should be polished optimally when the pressing force applied by the presser ring is equal to the pressing force applied by the top ring. However, since the polishing action depends on the type of the semiconductor wafer and the polishing conditions, the pressing force applied by the presser ring is selected to be of an optimum value based on the pressing force applied by the top ring depending on the type of the semiconductor wafer and the polishing conditions.

20 (0032)

There are demands for the removal of a larger or smaller thickness of material from the peripheral portion of the semiconductor wafer than from the inner region of the semiconductor wafer depending on the type of the semiconductor wafer. To meet such demands, the pressing force applied by the presser ring is selected to be of an optimum value based on the pressing force applied by the top ring to intentionally increase or reduce the amount of the

material removed from peripheral portion of the semiconductor wafer.

(0033)

(Embodiment)

5        FIGS. 5 through 7 show a polishing apparatus and a method thereof according to an embodiment of the present invention. FIG. 5 is a vertical cross-sectional view of a polishing apparatus. FIG. 6 is an enlarged vertical cross-sectional view showing details of a part of the polishing  
10        apparatus. FIG. 7 is a cross-sectional view taken along line VII-VII of FIG. 6.

(0034)

As shown in FIGS. 5 and 6, a top ring 1 has therein a circular first chamber  $C_1$  at a central position thereof, an  
15        annular second chamber  $C_2$  disposed at a radially outer side of the first chamber  $C_1$ , and an annular third chamber  $C_3$  disposed at a radially outer side of the first chamber  $C_2$ . The first chamber  $C_1$  is connected to a compressed air source 24 as a pressurized fluid source through a valve  $V_1$  and a  
20        regulator  $R_1$ , the second chamber  $C_2$  is connected to the compressed air source 24 through a valve  $V_2$  and a regulator  $R_2$ , and the third chamber  $C_3$  is connected to the compressed air source 24 through a valve  $V_3$  and a regulator  $R_3$ . The top ring 1 has a recess 1a defined in a lower surface thereof for  
25        accommodating therein a semiconductor wafer 4 which is a workpiece to be polished. An elastic pad 2 is attached to the lower surface of the top ring 1.

(0035)

The top ring 1 and the elastic pad 2 have a plurality of openings 1o and 2o, respectively, which are in registry with each other. Each of the openings 1o and 2o is communicated with any one of the first chamber C<sub>1</sub>, the second chamber C<sub>2</sub>,  
5 and the third chamber C<sub>3</sub>. That is, a plurality of openings each comprising the openings 1o and 2o for ejecting pressurized fluid are defined on a holding surface of the top ring 1 for holding the semiconductor wafer to be polished. Thus, three concentric annular areas A<sub>1</sub>, A<sub>2</sub> and A<sub>3</sub> are defined  
10 in the holding surface of the top ring 1 by allowing the openings 1o and 2o to be communicated with any one of the first, second and third chambers C<sub>1</sub>, C<sub>2</sub> and C<sub>3</sub>. The compressed air can be supplied to respective annular areas A<sub>1</sub>, A<sub>2</sub> and A<sub>3</sub>.

15 (0036)

A presser ring 3 is disposed around the top ring 1. A turntable 5 with a polishing cloth 6 attached to an upper surface thereof is disposed below the top ring 1.

(0037)

20 The top ring 1 is connected to a vertical top ring shaft 8 whose lower end is held against a ball 7 mounted on an upper surface of the top ring 1. The top ring shaft 8 is operatively coupled to a top ring air cylinder 10 fixedly mounted on an upper surface of a top ring head 9. The top  
25 ring shaft 8 is vertically movable by the top ring air cylinder 10 to press the semiconductor wafer 4 supported on the elastic pad 2 against the polishing cloth 6 on the turntable 5. Passages for supplying the compressed air into

the first, second and third chambers  $C_1$ ,  $C_2$  and  $C_3$  are formed inside the top ring shaft 8.

(0038)

The top ring shaft 8 is coupled to a rotatable cylinder 11 by a key (not shown), and the rotatable cylinder 11 has a pulley 12 mounted on outer circumferential surface thereof. The pulley 12 is connected by a timing belt 13 to a timing pulley 15 provided on a top ring motor 14 which is fixedly mounted on the top ring head 9. Therefore, when the top ring motor 14 is energized, the rotatable cylinder 11 and the top ring shaft 8 are integrally rotated through the timing pulley 15, the timing belt 13 and the timing pulley 12. Thus the top ring 1 is rotated. The top ring head 9 is supported by a top ring head shaft 16 which is fixed on a frame (not shown).

15 (0039)

On the other hand, the presser ring 3 is coupled to the top ring 1 by a key 18. The presser ring 3 is vertically movable with respect to the top ring 1 and rotatable integrally with the top ring 1. The presser ring 3 is connected to presser ring air cylinders 22 through a bearing holder 20 holding a bearing 19 and shafts 21. The presser ring air cylinders 22 are secured to the top ring head 9. A plurality of the presser ring air cylinder 22 (three in this embodiment) are circumferentially disposed.

25 (0040)

The top ring air cylinder 10 and the presser ring air cylinders 22 are pneumatically connected to the compressed air source 24 through regulators  $R_4$  and  $R_5$ , respectively.

The regulator  $R_4$  regulates an air pressure supplied from the compressed air source 24 to the top ring air cylinder 10 to adjust the pressing force which is applied by the top ring 1 to press the semiconductor wafer 4 against the polishing cloth 6. The regulator  $R_5$  also regulates the air pressure supplied from the compressed air source 24 to the presser ring air cylinder 22 to adjust the pressing force which is applied by the presser ring 3 to press the polishing cloth 6.

(0041)

10 An abrasive liquid supply nozzle 25 is positioned above the turntable 5 for supplying an abrasive liquid Q onto the polishing cloth 6 on the turntable 5.

(0042)

In the polishing apparatus having the above structure, 15 the semiconductor wafer 4 is held on the lower surface of the top ring 1, and the top ring air cylinder 10 is actuated to press the top ring 1 against the turntable 5, and the semiconductor wafer 4 is pressed against the polishing cloth 6 on the upper surface of the rotating turntable 5. Since 20 the abrasive liquid Q is supplied onto the polishing cloth 6 by the abrasive liquid supply nozzle 25, the abrasive liquid Q is retained on the polishing cloth 6. Therefore, the surface (lower surface) of the semiconductor wafer 4 is polished with the abrasive liquid Q which is present between 25 the lower surface of the semiconductor wafer 4 and the polishing cloth 6.

(0043)

During polishing, compressed air is supplied from the compressed air source 24 to the first, second and third chambers  $C_1$ ,  $C_2$  and  $C_3$  selectively, and the supplied compressed air is ejected from the lower surface of the elastic pad 2 through the openings 1o and 2o, and is supplied between the holding surface of the top ring 1 and the upper surface of the semiconductor wafer 4.

(0044)

At this time, at least one of the chambers  $C_1$ ,  $C_2$  and  $C_3$  to which compressed air is supplied is selected, and at least one of the annular areas  $A_1$ ,  $A_2$  and  $A_3$  from which compressed air is ejected is selected. For example, compressed air is supplied only to the first chamber  $C_1$ , and is not supplied to the second and third chambers  $C_2$  and  $C_3$ , whereby the semiconductor wafer 4 is pressed against the polishing cloth 6 by the compressed air in such a state that the polishing pressure applied to the central portion of the semiconductor wafer 4 is larger than the polishing pressure applied to outer circumferential portion of the semiconductor wafer 4. Thus, if the amount of a material removed from the outer circumferential portion of the semiconductor wafer 4 is larger than the amount of a material removed from the central portion of the semiconductor wafer 4, insufficient polishing action at the central portion of the semiconductor wafer can be corrected by utilizing the pressing action of the pressurized fluid.

(0045)



On the other hand, if the amount of a material removed from the central portion of the semiconductor wafer 4 is larger than the amount of a material removed from the outer circumferential portion of the semiconductor wafer 4, the compressed air is supplied only to the third chamber  $C_3$ , and is not supplied to the first and second chambers  $C_1$  and  $C_2$ , whereby the polishing pressure applied to the outer circumferential portion of the semiconductor wafer 4 is larger than the polishing pressure applied to the central portion of the semiconductor wafer 4. Thus, insufficient polishing action at the outer circumferential portion of the semiconductor wafer can be corrected, and the entire surface of the semiconductor wafer 4 can be uniformly polished.

(0046)

The pressures of compressed air supplied to the first chamber  $C_1$ , the second chamber  $C_2$  and the third chamber  $C_3$  are changed respectively, that is, compressed air having a pressure of  $p_1$  gf/cm<sup>2</sup> is supplied to the first chamber  $C_1$ , compressed air having a pressure of  $P_2$  gf/cm<sup>2</sup> is supplied to the second chamber  $C_2$ , and compressed air having a pressure of  $p_3$  gf/cm<sup>2</sup> is supplied. In this manner, the compressed air which is supplied between the holding surface of the top ring 1 and the upper surface of the semiconductor wafer 4 has pressure gradient so as to be higher or lower progressively from the central area to the outer circumferential area of the semiconductor wafer 4. That is, the pressing force for pressing the semiconductor wafer 4 against the polishing cloth 6 has gradient from the central area to the outer

circumferential area of the semiconductor wafer 4. Thus, irregularities of the polishing action can be sufficiently corrected and the localized area of the semiconductor wafer 4 is prevented from being polished excessively or  
5 insufficiently.

(0047)

In the present invention, the pressing force  $F_1$  (pressure per unit area,  $\text{gf/cm}^2$ ) for pressing the semiconductor wafer 4 against the polishing cloth 6, and the  
10 pressing force  $F_2$  (pressure per unit area,  $\text{gf/cm}^2$ ) for pressing the polishing cloth 6 are variable independently of each other. Therefore, the pressing force  $F_2$  which is applied to the polishing cloth 6 by the presser ring 3 can be changed depending on the pressing force  $F_1$  which is applied  
15 by the top ring 1 to press the semiconductor wafer 4 against the polishing cloth 6.

(0048)

Further, depending on the pressing force applied by the top ring 1 actuated by the top ring air cylinder 10, the  
20 pressing force applied to the polishing cloth 6 by the presser ring 3 actuated by the presser ring air cylinders 22 is adjusted while the semiconductor wafer 4 is being polished. During the polishing process, the pressing force  $F_1$  (see FIG. 1) which is applied by the top ring 1 to press  
25 the semiconductor wafer 4 against the polishing cloth 6 can be adjusted by the regulator  $R_1$ , and the pressing force  $F_2$  which is applied by the presser ring 3 to press the polishing cloth 6 can be adjusted by the regulator  $R_2$ . Therefore,

during the polishing process, the pressing force  $F_2$  applied by the presser ring 3 to press the polishing cloth 6 can be changed depending on the pressing force  $F_1$  applied by the top ring 1 to press the semiconductor wafer 4 against the polishing cloth 6. By adjusting the pressing force  $F_2$  with respect to the pressing force  $F_1$ , the distribution of polishing pressures is made continuous and uniform from the center of the semiconductor wafer 4 to its peripheral edge and further to the outer circumferential edge of the presser ring 3 disposed around the semiconductor wafer 4. Consequently, the peripheral portion of the semiconductor wafer 4 is prevented from being polished excessively or insufficiently.

(0049)

15 If a larger or smaller thickness of material is to be removed from the peripheral portion of the semiconductor wafer 4 than from the inner region of the semiconductor wafer 4, then the pressing force  $F_2$  applied by the presser ring 3 is selected to be of a suitable value based on the pressing force  $F_1$  applied by the top ring to intentionally increase or reduce the amount of a material removed from the peripheral portion of the semiconductor wafer 4.

(0050)

25 By controlling compressed air supplied to the first, second and third chambers  $C_1$ ,  $C_2$  and  $C_3$ , the semiconductor wafer 4 is polished by a combination of a pressing action caused by the compressed air and a pressing action caused by the presser ring 3. Thus, insufficient polishing action in

the localized area (for example, the central area or the outer circumferential area) of the semiconductor wafer can be corrected. Further, the amount of the material removed from the localized areas (for example, the central area or the outer circumferential area) can be intentionally increased or decreased. In this case, in the case where the polishing pressure at the central portion of the semiconductor wafer 4 is made larger than the polishing pressure at the outer circumferential portion of the semiconductor wafer 4, the pressing force  $F_2$  of the presser ring 3 is made larger than the pressing force  $F_1$  of the top ring 1. Conversely, in the case where the polishing pressure at the outer circumferential portion of the semiconductor wafer 4 is made larger than the polishing pressure at the central portion of the semiconductor wafer 4, the pressing force  $F_2$  of the presser ring 3 is made smaller than the pressing force  $F_1$  of the top ring 1.

(0051)

In this embodiment, since the semiconductor wafer 4 is accommodated in the recess 1a of the top ring 1 the outer circumferential surface of the semiconductor wafer 4 at its peripheral edge is not rubbed by the presser ring 3 when the presser ring 3 is vertically moved with respect to the top ring 1. Therefore, the presser ring 3 as it is moved vertically does not adversely affect the polishing performance of the polishing apparatus during the polishing process.

(0052)

FIG. 8 shows a polishing apparatus according to a second embodiment of the present invention. A top ring 51 comprises a main body 52 and a ring member 54 detachably fixed by bolts 53 to a lower outer circumferential surface of the main body 52. The top ring 51 has a recess 51a for accommodating the semiconductor wafer 4. The recess 51a is defined by a lower surface of the main body 52 and an inner circumferential surface of the ring member 54. The semiconductor wafer 4 accommodated in the recess 51a has an upper surface held by the lower surface of the main body 52 and an outer circumferential surface held by the inner circumferential surface of the ring member 54. The presser ring 3 is vertically movably disposed around the top ring 51.

(0053)

The main body 52 of the top ring has therein a circular first, second and third chamber  $C_1$ ,  $C_2$  and  $C_3$ . The first chamber  $C_1$ , the second chamber  $C_2$  and the third chamber  $C_3$  are connected to the compressed air source to allow compressed air to be supplied thereto in the same manner as the embodiment in FIGS. 5 through 7. The main body 52 of the top ring has a plurality of openings 52o which are communicated with the first chamber  $C_1$ , the second chamber  $C_2$  and the third chamber  $C_3$ , respectively. An elastic pad 2 also has a plurality of openings 2o which are in registry with the openings 52o. Thus compressed air can be applied to the upper surface of the semiconductor wafer 4.

(0054)

(EFFECT OF THE INVENTION)

As described above, according to the polishing apparatus and method of the present invention, the distribution of the pressing force of the workpiece is prevented from being nonuniform at the peripheral portion of the workpiece during the polishing process, and the polishing pressures can be uniformized over the entire surface of the workpiece. Therefore, the peripheral portion of the semiconductor wafer is prevented from being polished excessively or insufficiently. The entire surface of workpiece can thus be polished to a flat mirror finish. In the case where the present invention is applied to semiconductor manufacturing processes, the semiconductor devices can be polished to a high quality. Since the peripheral portion of the semiconductor wafer can be used as products, yields of the semiconductor devices can be increased.

(0055)

Further, according to the present invention, in the case where there are demands for the removal of a larger or smaller thickness of material from the peripheral portion of the semiconductor wafer than from the inner region of the semiconductor wafer depending on the type of the semiconductor wafer, the amount of the material removed from the peripheral portion of the semiconductor wafer can be intentionally increased or decreased. Further, the amount of the material removed from not only the peripheral portion of the semiconductor wafer but also the localized area (for example, central portion or outer circumferential portion) can be intentionally increased or decreased.

(BRIEF DESCRIPTION OF THE DRAWINGS)

(FIG. 1)

FIG. 1 is an explanatory view showing the basic principles of the present invention.

5 (FIG. 2)

FIG. 2 is a set of cross-sectional views showing the behavior of an polishing cloth when the relationship between a pressing force applied by a top ring and a pressing force applied by a presser ring is varied.

10 (FIG. 3)

FIG. 3 is a set of graphs showing the results of an experiment in which a semiconductor wafer was polished based on the basic principles of the present invention.

(FIG. 4)

15 FIG. 4 is a set of graphs showing the results of an experiment in which a semiconductor wafer was polished based on the basic principles of the present invention.

(FIG. 5)

20 FIG. 5 is a cross-sectional view of a polishing apparatus according to a first embodiment of the present invention.

(FIG. 6)

FIG. 6 is a cross-sectional view showing details of a polishing apparatus according to the first embodiment.

25 (FIG. 7)

FIG. 7 is a cross-sectional view taken along line VII-VII of FIG. 6.

(FIG. 8)

FIG. 8 is a cross-sectional view of a different type of a top ring of the polishing apparatus according to a the present invention.

(Description of the Reference Numerals and Signs)

- 5 1 top ring
- 2 elastic mat
- 3 presser ring
- 4 semiconductor wafer
- 5 turn table
- 10 6 polishing cloth
- 7 ball
- 8 top ring shaft
- 9 top ring head
- 10 top ring air cylinder
- 15 18 key
- 19 bearing
- 20 bearing holder
- 22 presser ring air cylinder
- 24 compressed air source
- 20 25 abrasive liquid supply nozzle
- 26 presser ring holder
- R<sub>1</sub>-R<sub>5</sub> regulator
- C<sub>1</sub>-C<sub>3</sub> first, second and third chamber